

**Introduction** – Jambuwala Commodities Private Limited is full-service broking houses, which are a Trading Member of Bombay Stock Exchange Limited (BSE), National Stock Exchange of India Limited (NSE), Multi Commodity Exchange Limited (MCX) and National Commodity & Derivatives Exchange Limited (NCDEX) Bearing SEBI Registration No. INZ000056036 and a Depository Participant with CDSL SEBI Reg. IN – DP – 527 – 2020.

### **Policy on Dormant account / Inactive Account**

#### **Definitions**

In case of trading account, the term dormant/Inactive account refers to such account wherein no transactions have been carried out since last 12 calendar months.

In case of Demat account the term Dormant/Inactive accounts refers to such accounts where no debit transaction had taken place for a continuous period of 6 months.

#### **Transaction in Dormant/Inactive Trading Accounts**

The Dormant accounts identified based on the above criteria shall be flagged as such in company's record. Company reserves the right to freeze/deactivate such account and refuse to Permit to carry out any fresh transactions in such account.

The clients account would be reactivated only after undertaking proper due diligence process and fulfillment of such conditions as may be deemed fit, in the cases where the account is frozen / deactivated.

#### **Monitoring of transactions**

1. Sudden activity in dormant accounts may be viewed as a suspicious transaction.
2. Trading accounts shall be reported as an Alert.
3. Such alerts/reports shall be reviewed by the Authorized Official.
4. Transactions found to be suspicious shall immediately be reported to the Risk Management and Compliance Department.

The above stated policy may vary depending on various rules, regulations and bye laws as may be prescribed by SEBI, exchanges or any other authority or as per Internal policy of company from time to time. This Policy for dormant accounts is over and above the transaction monitoring in Dormant account as per Anti-Money laundering Policy of the Company.

Policy Reviewed and Authorised by

Mr. Kamal Shah  
Director